

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

**Methods and Systems for Animating Facial Features,
and Methods and Systems for Expression
Transformation**

Inventor(s):

Stephen Marschner

Brian Guenter

Sashi Raghupathy

Kirk Olynyk

Sing Bing Kang

1 **TECHNICAL FIELD**

2 This invention relates to methods and systems for modeling and rendering
3 for realistic facial animation. In particular, the invention concerns methods and
4 systems for facial image processing.

5
6 **BACKGROUND**

7 The field of computer graphics involves rendering various objects so that
8 the objects can be displayed on a computer display for a user. For example,
9 computer games typically involve computer graphics applications that generate
10 and render computer objects for display on a computer monitor or television.
11 Modeling and rendering realistic images is a continuing challenge for those in the
12 computer graphics field. One particularly challenging area within the computer
13 graphics field pertains to the rendering of realistic facial images. As an example, a
14 particular computer graphics application may render a display of an individual
15 engaging in a conversation. Often times, the ultimately rendered image of this
16 individual is very obviously a computer-rendered image that greatly differs from a
17 real individual.

18 Modeling and rendering realistic faces and facial expressions is a
19 particularly difficult task for two primary reasons. First, the human skin has
20 reflectance properties that are not well modeled by the various shading models that
21 are available for use. For example, the well-known Phong model does not model
22 human skin very well. Second, when rendering facial expressions, the slightest
23 deviation from what would be perceived as "real" facial movement is perceived by
24 even the casual viewer as being incorrect. While current facial motion capture
25 systems can be used to create quite convincing facial animation, the captured

used to illuminate the subject's face. This other light source is sufficient to enable various reflectance properties of the subject's face to be ascertained. The other light source is used in conjunction with polarizing filters so that the specular component of the face's reflectance is eliminated, i.e. only the diffuse component is captured by the camera. The use of the multiple different light sources enables both structure and reflectance properties of a face to be ascertained at the same time. By selecting the light sources carefully, for example, by making the light sources narrowband and using matching narrowband filters on the cameras, the influence of ambient sources of illumination can be eliminated.

Out of the described illumination process, two useful items are produced—(1) a range map (or depth map) and (2) an image of the face that does not have the structured light source pattern in it. A 3D surface is derived from the range map and surface normals to the 3D surface are computed. The processing of the range map to define the 3D surface can optionally include a filtering step in which a generic face template is combined with the range map to reject undesirable noise. The computed surface normals and the image of the face are then used to derive an albedo map. An albedo map is a special type of texture map in which each sample describes the diffuse reflectance of the surface of a face at a particular point on the surface. Accordingly, at this point in the process, information has been ascertained that describes the 3D-aspects of a face (i.e. the surface normals), and information that describes the face's reflectance (i.e. the albedo map).

In one embodiment, the information or data that was produced in the illumination process is used to transform facial expressions of one person into facial expressions of another person. In this embodiment, the notion of a code book is introduced and used.

1 A code book contains data that describes many generic expressions of
2 another person (person A). One goal is to take the code book expressions and use
3 them to transform the expressions of another person (person B). To do this, an
4 inventive method uses person B to make a set of training expressions. The
5 training expressions consist of a set of expressions that are present in the code
6 book. By using the training expressions and each expression's corresponding code
7 book expression, a transformation function is derived. The transformation
8 function is then used to derive a set of synthetic expressions that should match the
9 expressions of person B. That is, once the transformation function is derived, it is
10 applied to each of the expressions in the code book so that the code book
11 expressions match the expressions of person B. Hence, when a new expression is
12 received, e.g. from person B, that might not be in the training set, the synthesized
13 code book expressions can be searched for an expression that best matches the
14 expression of person B.

15 In another embodiment, a common face structure is defined that can be
16 used to transform facial expressions and motion from one face to another. In the
17 described embodiment, the common face structure comprises a coarse mesh
18 structure or "base mesh" that defines a subdivision surface that is used as the basis
19 for transforming the expressions of one person into another. A common base
20 mesh is used for all faces thereby establishing a correspondence between two or
21 more faces. Accordingly, this defines a structure that can be used to adapt face
22 movements from one person to another. According to this embodiment, a
23 technique is used to adapt the subdivision surface to the face model of a subject.
24 The inventive technique involves defining certain points on the subdivision
25 surface that are mapped directly to corresponding points on the face model. This

1 Fig. 15 is a color diagram of the Fig. 14 albedo maps after editing.

2 Fig. 16 is a collection of color pictures of a face model that is rendered in
3 different orientations and under different lighting conditions.

4 Fig. 17 is a flow diagram that describes steps in a method for creating an
5 albedo map in accordance with the described embodiment.

6 Fig. 18 is a flow diagram that describes steps in a method for computing an
7 albedo for a single pixel in accordance with the described embodiment.

8 9 **DETAILED DESCRIPTION**

10 **Overview**

11 Rendering realistic faces and facial expressions requires very good models
12 for the reflectance of skin and the motion of the face. Described below are
13 methods and techniques for modeling, animating, and rendering a face using
14 measured data for geometry, motion, and reflectance that realistically reproduces
15 the appearance of a particular person's face and facial expressions. Because a
16 complete model is built that includes geometry and bi-directional reflectance, the
17 face can be rendered under any illumination and viewing conditions. The
18 described modeling systems and methods create structured face models with
19 correspondences across different faces, which provide a foundation for a variety of
20 facial animation operations.

21 The inventive embodiments discussed below touch upon each of the parts
22 of the face modeling process. To create a structured, consistent representation of
23 geometry that forms the basis for a face model and that provides a foundation for
24 many further face modeling and rendering operations, inventive aspects extend
25 previous surface fitting techniques to allow a generic face to be conformed to

different individual faces. To create a realistic reflectance model, the first known practical use of recent skin reflectance measurements is made. In addition, newly measured diffuse texture maps have been added using an improved texture capture process. To animate a generic mesh, improved techniques are used to produce surface shapes suitable for high quality rendering.

Exemplary Computer System

Preliminarily, Fig. 1 shows a general example of a desktop computer 130 that can be used in accordance with the described embodiments. Various numbers of computers such as that shown can be used in the context of a distributed computing environment. These computers can be used to render graphics and process images in accordance with the description given below.

Computer 130 includes one or more processors or processing units 132, a system memory 134, and a bus 136 that couples various system components including the system memory 134 to processors 132. The bus 136 represents one or more of any of several types of bus structures, including a memory bus or memory controller, a peripheral bus, an accelerated graphics port, and a processor or local bus using any of a variety of bus architectures. The system memory 134 includes read only memory (ROM) 138 and random access memory (RAM) 140. A basic input/output system (BIOS) 142, containing the basic routines that help to transfer information between elements within computer 130, such as during start-up, is stored in ROM 138.

Computer 130 further includes a hard disk drive 144 for reading from and writing to a hard disk (not shown), a magnetic disk drive 146 for reading from and writing to a removable magnetic disk 148, and an optical disk drive 150 for

encircles the camera lens. This light source is selected so that the specular component of the reflectance is suppressed or eliminated. In the illustrated example, a linear polarizing filter is employed to produce polarized illumination, and a second linear polarizer, which is oriented perpendicularly to the first, is placed in front of the lens 202a so that specular reflection from the face is not recorded by the camera. The above-described illumination system has been simulated using light sources at different frequencies, e.g. corresponding to the red and green channels of the camera. Both of the channels can, however, be in the infrared region. Additionally, by selecting the light sources to be in a narrow band (e.g. 780-880 nm), the influence of ambient light can be eliminated. This property is only achieved when the camera is also filtered to a narrow band. Because the illumination from the light source is concentrated into a narrow band of wavelengths whereas the ambient light is spread over a broad range of wavelengths, the light from the source will overpower the ambient light for those particular wavelengths. The camera, which is filtered to record only the wavelengths emitted by the source, will therefore be relatively unaffected by the ambient light. As a result, the camera will only detect the influence of the selected light sources on the subject.

Using the multiple different light sources, and in particular, an infrared light source in combination with a polarized light source (which can be an infrared light source as well) enables the camera (which is configured with a complementary polarizer) to simultaneously or contemporaneously capture structural information or data about the face (from light source 204) and reflectance information or data about the face (from light source 206) independently. The structural information describes 3-dimensional aspects of the face while the reflectance information

Zippered Polygon Meshes from Range Images, SIGGRAPH 94; F. Bernardini, J. Mittleman, H. Rushmeier, C. Silva, and G. Taubin, *The Ball-Pivoting Algorithm for Surface Reconstruction*, Trans. Vis. Comp. Graph. 5:4 (1999). Step 308 then computes surface normal vectors (“surface normals”) to the 3D surface of step 306 using known algorithms. One way to accomplish this task is to compute the normals to the triangles, average those triangle normals around each vertex to make vertex normals, and then interpolate the vertex normals across the interior of each triangle. Other methods can, of course, be utilized. Step 310 then uses the computed surface normals of step 308 and the image data of step 302 to derive an albedo map. An albedo is a special type of texture map in which each sample describes the diffuse reflectance of the surface of a face at a particular point on the facial surface. The derivation of an albedo map, given the information provided above, will be understood by those skilled in the art. An exemplary algorithm is described in Marschner, *Inverse Rendering for Computer Graphics*, PhD thesis, Cornell University, August 1998.

At this point, and as shown in Fig. 2, the illumination processing has produced 3D data that describes the structural features of a subject’s face and albedo map data that describes the diffuse reflectance of the facial surface.

The above illumination processing can be used to extract the described information, which can then be used for any suitable purpose. In one particularly advantageous embodiment, the extracted information is utilized to extract and recognize a subject's expressions. This information can then be used for expression transformation. In the inventive embodiment described just below, the expressions of one person can be used to transform the expressions of another person in a realistic manner.

2

3
4
5
6
7
8
9
10
11
12
13

14
15
16
17
18
19
20
21
22
23
24
25

algorithm) will not precisely correspond because of errors in placement. Second, head shape and size varies from person to person.

The first mismatch can be overcome by resampling the motion capture displacement data for all faces at a fixed set of positions on a generic mesh. This is described below in more detail in the section entitled “Exemplary System and Method for Building a Face Model.” There, the fixed set of positions is referred to as the “standard sample positions”. The resampling function is the mesh deformation function. The standard sample positions are the vertices of the face mesh that correspond to the vertices of the generic mesh subdivided once.

The second mismatch requires transforming displacement data from one face to another to compensate for changes in size and shape of the face. In the illustrated example, this is done by finding a small training set of corresponding expressions for the two data sets and then finding the best linear transformation from one to another. As an example, consider the following: In an experimental environment, emotion expressions were manually labeled for 49 corresponding expressions including various intensities of several expressions. For speech motion, 10,000 frames were automatically aligned using time warping techniques.

Each expression is represented by a $3m$ -vector g that contains all of the x , y , and z displacements at the m standard sample positions. Given a set of n expression vectors for the face to be transformed, $g_{a1...n}$, and a corresponding set of vectors for the target face, $g_{b1...n}$, a set of linear predictors a_j is computed, one for each coordinate of g_a , by solving $3m$ linear least squares systems:

$$a_j \cdot g_{ai} = g_{bi}[j], i = 1 \dots n$$

Fig. 6 shows a system 600 that illustrates but one example of how the expression transformation process described above can be employed. System 600 includes a transmitter computing system or transmitter 602 and a receiver computing system or receiver 604 connected for communication by a network 603 such as the Internet. Transmitter 602 includes an illumination system 200 (Fig. 2) configured to capture the expressions of a person as described in connection with Fig. 2. Transmitter 602 also includes a code book 400, such as the one described in connection with Fig. 4. It is assumed that the code book has been synthesized into a synthetic set of expressions as described above. That is, using a set of expressions provided by the person whose expressions illumination system 200 is configured to capture, the code book has been processed to provide a synthesized set of expressions.

Lee & Hayes, PLLC

1 that expression can be transmitted to receiver 604 and an animated face can be
2 reconstructed using the reconstruction module 606.

4 **Exemplary Facial Transformation**

5 Fig. 7 shows some effects of expression transfer in accordance with the
6 described embodiment. The pictures in the first row constitute a synthetic face of
7 a first person (person A) that shows three different expressions. These pictures are
8 the result of the captured facial motion of person A. Face motion for a second
9 person (person B) was captured. The captured face motion for person B is shown
10 in the third row. Here, the 3D motion data was captured by placing a number of
11 colored dots on the person's face and measuring the dots' movements when the
12 person's face was deformed, as will be understood by those of skill in the art.
13 Motion data can, however, be captured by the systems and methods described
14 above. Person B's captured motions were then used, as described above, to
15 transform the expressions of person A. The result of this operation is shown in the
16 second row. The expressions in the three sets of pictures all correspond with one
17 another. Notice how the expressions in the first and second row look very similar
18 even though they were derived from two very different people, while the original
19 expressions of the second person (row 3) look totally unlike those of the first and
20 second rows.

22 **Exemplary System and Methods for Building a Face Model**

23 The model of a face that is needed to produce a realistic image has two
24 parts to it. The first part of the model relates to the geometry of the face (i.e. the
25 shape of the surface of the face) while the second part of the model relates to the

The mouth opening is a boundary of the mesh, and is kept closed during the fitting process by tying together the positions of the corresponding vertices on the upper and lower lips. The base mesh has a few edges marked for sharp subdivision rules that serve to create corners at the two sides of the mouth opening and to provide a place for the sides of the nose to fold. Because the modified subdivision rules only introduce creases for chains of at least three sharp edges, this model does not have creases in the surface; only isolated vertices fail to have well-defined limit normals.

Fig. 8 shows an example of a coarse defined mesh (the center figure) that was used in accordance with this example. Fig. 8 visually shows how the coarse mesh can be used to map the same subdivision control (coarse) mesh to a displaced subdivision surface for each face so that the result is a natural correspondence from one face to another. This aspect is discussed in more detail below.

The process used to fit the subdivision surface to each face is based on an algorithm described by Hoppe et al. *Piecewise smooth surface reconstruction*, Computer Graphics (SIGGRAPH '94 Proceedings) pps. 295-302, July 1994. Hoppe's surface fitting method can essentially be described as consisting of three phases: a topological type estimation (phase 1), a mesh optimization (phase 2), and a piecewise smooth surface optimization (phase 3).

Phase 1 constructs a triangular mesh consisting of a relatively large number of triangles given an unorganized set of points on or near some unknown surface. This phase determines the topological type of the surface and produces an initial estimate of geometry. Phase 2 starts with the output of phase 1 and reduces the number of triangles and improves the fit to the data. The approach is to cast the

where p_i is the i^{th} range point and $\Pi(\mathbf{v}, p_i)$ is the projection of that point onto the subdivision surface defined by the vertex positions \mathbf{v} . The weight a_i is a Boolean term that causes points for which the scanner's view direction at p_i is not consistent with the surface normal at $\Pi(\mathbf{v}, p_i)$ to be ignored. Additionally, points are rejected that are farther than a certain distance from the surface:

$$a_i = \begin{cases} 1 & \text{if } \langle s(p_i), n(\Pi(\mathbf{v}, p_i)) \rangle > 0 \text{ and } \|p_i - \Pi(\mathbf{v}, p_i)\| < d_0 \\ 0 & \text{otherwise} \end{cases}$$

where $s(p)$ is the direction toward the scanner's viewpoint at point p and $n(x)$ is the outward-facing surface normal at point x .

The smoothness functional E_s encourages the control mesh to be locally planar. It measures the distance from each vertex to the average of the neighboring vertices:

$$E_s(\mathbf{v}) = \sum_{j=1}^{n_v} \left\| v_j - \frac{1}{\deg(v_j)} \sum_{i=1}^{\deg(v_j)} v_{k_i} \right\|^2$$

The vertices v_{ki} are the neighbors of v_i .

The constraint functional E_c is simply the sum-squared distance from a set of constrained vertices to a set of corresponding target positions:

$$E_c(\mathbf{v}) = \sum_{i=1}^{n_c} \|A_{ci}\mathbf{v} - d_i\|^2$$

where A_j is the linear function that defines the limit position of the j^{th} vertex in terms of the control mesh, so the limit position of vertex c_i is attached to the 3D point d_i . The constraints could instead be enforced rigidly by a linear

1 Proceedings) July 2000. The resulting surface reproduces all the salient features
2 of the original scan in a mesh that has somewhat fewer triangles, since the base
3 mesh has more triangles in the more important regions of the face. The
4 subdivision-based representation also provides a parameterization of the surface
5 and a built-in set of multiresolution basis functions defined in that
6 parameterization and, because of the feature constraints used in the fitting, creates
7 a natural correspondence across all faces that are fit using this method. This
8 structure is useful in many ways in facial animation.

9 Fig. 10 is a flow diagram that describes steps in a method for building a
10 face model in accordance with this described embodiment. The method can be
11 implemented in any suitable hardware, software, firmware or combination thereof.
12 In the present example, the method is implemented in software.

13 Step 1000 measures 3D data for one or more faces to provide
14 corresponding face models. In the above example, the 3D data was generated
15 through the use of a laser range scan of the faces. It will be appreciated that any
16 suitable method of providing the 3D data can be used. Step 1002 defines a generic
17 face model that is to be used to fit to the one or more face models. It will be
18 appreciated that the generic face model can advantageously be utilized to fit to
19 many different faces. Accordingly, this constitutes an improvement over past
20 methods in which this was not done. In the example described above, the generic
21 face model comprises a mesh structure in the form of a coarse triangle mesh. The
22 triangle mesh defines subdivision surfaces that closely approximate the geometry
23 of the face. In the illustrated example, a single base mesh is used to define the
24 subdivision surfaces for all of the face models. Step 1004 selects specific points
25 or constraints on the generic face model. These specific points or constraints are

Moving the Face

The motions of the face are specified by the time-varying 3D positions of a set of sample points on the face surface. When the face is controlled by motion-capture data these points are the markers on the face that are tracked by the motion capture system. The motions of these points are used to control the face surface by way of a set of control points that smoothly influence regions of the surface. Capturing facial motion data can be done in any suitable way, as will be apparent to those of skill in the art. In one specific example, facial motion was captured using the technique described in Guenter et al., *Making Faces*, Proceedings of SIGGRAPH 1998, pages 55-67, 1998.

Mesh Deformation

The face is animated by displacing each vertex w_i of the triangle mesh from its rest position according to a linear combination of the displacements of a set of control points q_j . These control points correspond one-to-one with the sample points p_j that describe the motion. The influence of each control point on the vertices falls off with distance from the corresponding sample point, and where multiple control points influence a vertex, their weights are normalized to sum to 1.

$$\Delta w_i = \frac{1}{\beta_i} \sum_j \alpha_{ij} \Delta q_j \quad ; \alpha_{ij} = h(\|w_i - p_j\|/r)$$

where $\beta_i = \sum_k \alpha_{ik}$ if vertex i is influenced by multiple control points and 1 otherwise. These weights are computed once, using the rest positions of the sample points and face mesh, so that moving the mesh for each frame is just a

1 sparse matrix multiplication. For the weighting function, the following was used:

2
$$h(x) = \frac{1}{2} + \frac{1}{2}\cos(\pi x).$$

3 Two types of exceptions to these weighting rules are made to handle the
4 particulars of animating a face. Vertices and control points near the eyes and
5 mouth are tagged as "above" and "below," and control points that are, for example,
6 above the mouth do not influence the motions of vertices below the mouth. Also,
7 a scalar texture map in the region around the eyes is used to weight the motions so
8 that they taper smoothly to zero at the eyelids. To move the face mesh according
9 to a set of sample points, control point positions must be computed that will
10 deform the surface appropriately. Using the same weighting functions described
11 above, we compute how the sample points move in response to the control points.
12 The result is a linear transformation: $\mathbf{p} = \mathbf{A}\mathbf{q}$. Therefore if at time t we want to
13 achieve the sample positions \mathbf{p}_t , we can use the control positions $\mathbf{q}_t = \mathbf{A}^{-1}\mathbf{p}_t$.
14 However, the matrix \mathbf{A} can be ill-conditioned, so to avoid the undesirable surface
15 shapes that are caused by very large control point motions we compute \mathbf{A}^{-1} using
16 the SVD (Singular Value Decomposition) and clamp the singular values of \mathbf{A}^{-1} at a
17 limit M . In the illustrated example, $M = 1.5$ was used. A standard reference that
18 discusses SVD is Golub and Van Loan, *Matrix Computations*, 3rd edition, Johns
19 Hopkins press, 1996.

20 21 **Eye and Head Movement**

22 In order to give the face a more lifelike appearance, procedurally generated
23 motion is added to the eyes and separately captured rigid-body motion to the head
24 as a whole. The eyeballs are rotated according to a random sequence of fixation
25 directions, moving smoothly from one to the next. The eyelids are animated by

1 rotating the vertices that define them about an axis through the center of the
2 eyeball, using weights defined on the eyelid mesh to ensure smooth deformations.

3 The rigid-body motion of the head is captured from the physical motion of
4 a person's head by filming that motion while the person is wearing a hat marked
5 with special machine-recognizable targets (the hat is patterned closely on the one
6 used by Marschner et al., *Image-based BRDF measurement including human skin*,
7 *Rendering Techniques '99* (Proceedings of the Eurographics Workshop on
8 *Rendering*), pps. 131-144, June 1998. By tracking these targets in the video
9 sequence, the rigid motion of the head is computed, which is then applied to the
10 head model for rendering. This setup, which requires simply a video camera,
11 provides a convenient way to author head motion by demonstrating the desired
12 actions.

13 14 **Exemplary System and Methods for Modeling Reflectance**

15 Rendering a realistic image of a face requires not just accurate geometry,
16 but also accurate computation of light reflection from the skin. In the illustrated
17 example, a physically-based Monte Carlo ray tracer was used to render the face.
18 Exemplary techniques are described in Cook et al., *Distribution Ray Tracing*,
19 *Computer Graphics (SIGGRAPH '84 Proceedings)*, pps. 165-174, July 1984 and
20 Shirley et al., *Monte Carlo techniques for direct lighting calculations*,
21 *Transactions on Graphics*, 15(1):1-36, 1996. Doing so allows for the use of
22 arbitrary BRDFs (bi-directional reflectance distribution functions) to correctly
23 simulate the appearance of the skin, which is not well approximated by simple
24 shading models. In addition, extended light sources are used, which, in rendering
25 as in portrait photography, are needed to achieve a pleasing image. Two important

deviations from physical light transport are made for the sake of computational efficiency: diffuse interreflection is disregarded, and the eyes are illuminated through the cornea without refraction.

In the illustrated example, a reflectance model for the skin is based on measurements of actual human faces. Exemplary techniques are described in Marschner et al., *Image based BRDF measurement including human skin*, Rendering Techniques '99 (Proceedings of the Eurographics Workshop on Rendering), pps. 131-144, June 1999. The measurements describe the average BRDFs of several subjects' foreheads and include fitted parameters for the BRDF model described in Lafortune et al., *Non-linear approximation of reflectance functions*, Computer Graphics (SIGGRAPH '97 Proceedings), pps. 117-126, August 1997. Accordingly, the measurements provide an excellent starting point for rendering a realistic face. However, the measurements need to be augmented to include some of the spatial variation observed in actual faces. This is achieved by starting with the fit to the measured BRDF of one subject whose skin is similar to the skin of the face we rendered and dividing it into diffuse and specular components. A texture map is then introduced to modulate each.

The texture map for the diffuse component, or the “albedo map”, modulates the diffuse reflectance according to measurements taken from the subjects' actual faces as described below. The specular component is modulated by a scalar texture map to remove specularity from areas (such as eyebrows and hair) that should not be rendered with skin reflectance and to reduce specularity on the lower part of the face to approximate the characteristics of facial skin. The result is a spatially varying BRDF that is described at each point by a sum of the generalized cosine lobes of Lafortune et al., *Non-linear approximation of*

1 *reflectance functions*, Computer Graphics (SIGGRAPH '97 Proceedings), pps.
2 117-126, August 1997.

3 4 **Constructing the Albedo Map**

5 In the illustrated and described embodiment, the albedo map, which must
6 describe the spatially varying reflectance due to diffuse reflection, was measured
7 using a sequence of digital photographs of the face taken under controlled
8 illumination.

9 Fig. 11 shows an exemplary system that was utilized to capture the digital
10 photographs or images. In the illustrated system, a digital camera 1100 is
11 provided and includes multiple light sources, exemplary ones of which are shown
12 at 1102, 1104. Polarizing filters in the form of perpendicular polarizers 1106,
13 1108, and 1110 are provided and cover the light sources and the camera lens so
14 that the specular reflections are suppressed, thereby leaving only the diffuse
15 component in the images. In the example, a subject wears a hat 1112 printed with
16 machine-recognizable targets to track head pose. Camera 1100 stays stationary
17 while the subject rotates. The only illumination comes from the light sources
18 1102, 1104 at measured locations near the camera. A black backdrop is used to
19 reduce indirect reflections from spilled light.

20 Since the camera and light source locations are known, standard ray tracing
21 techniques can be used to compute the surface normal, the irradiance, the viewing
22 direction, and the corresponding coordinates in texture space for each pixel in each
23 image. Under the assumption that ideal Lambertian reflection is being observed,
24 the Lambertian reflectance can be computed for a particular point in texture space
25 from this information. This computation is repeated for every pixel in one

Fig. 16 shows several different aspects of the face model, using still frames from the accompanying video. In the first row, the face is shown from several angles to demonstrate that the albedo map and measured BRDF realistically capture the distinctive appearance of the skin and its color variation over the entire face, viewed from any angle. The second row shows the effects of rim and side lighting, including strong specular reflections at grazing angles. Note that the light source has the same intensity and is at the same distance from the face for all three images in this row. The directional variation in the reflectance leads to the familiar lighting effects seen in the renderings. In the third row, expression deformations are applied to the face to demonstrate that the face still looks natural under normal expression movement.

Fig. 16 shows several different aspects of the face model, using still frames from the accompanying video. In the first row, the face is shown from several angles to demonstrate that the albedo map and measured BRDF realistically capture the distinctive appearance of the skin and its color variation over the entire face, viewed from any angle. The second row shows the effects of rim and side lighting, including strong specular reflections at grazing angles. Note that the light source has the same intensity and is at the same distance from the face for all three images in this row. The directional variation in the reflectance leads to the familiar lighting effects seen in the renderings. In the third row, expression deformations are applied to the face to demonstrate that the face still looks natural under normal expression movement.

Fig. 17 is a flow diagram that describes steps in a method for creating an albedo map in accordance with the described embodiment. The method can be implemented in any suitable hardware, software, firmware or combination thereof. In the described embodiment, the method is implemented in software in connection with a system such as the one shown and described in Fig. 11.

Step 1700 provides one or more polarized light sources that can be used to illuminate a subject. Exemplary light sources are described above. In the described embodiment, the light sources are selected so that the specular component of the subject's facial reflectance is suppressed or eliminated. Step 1702 illuminates the subject's face with the light sources. Step 1704 rotates the subject while a series of digital photographs or images are taken. Step 1706 computes surface normals, irradiance, viewing direction and coordinates in texture

space for each pixel in the texture map. The computations can be done using known algorithms. Step 1708 computes the Lambertian reflectance for a particular pixel in the texture space for the image. This provides an albedo for the pixel. Step 1710 determines whether there are any additional pixels in the albedo map. If there are, step 1712 gets the next pixel and returns to step 1708. If there are no additional pixels in the albedo map, step 1714 ascertains whether there are any additional digital images. If there are additional digital images, step 1716 gets the next digital image and returns to step 1706. If there are no additional digital images, then step 1718 computes a weighted average of the individual albedo maps for each image to create a single albedo map for the entire face. One specific example of how this weighted average processing takes place is given above and described in Marschner, *Inverse Rendering for Computer Graphics*, PhD thesis, Cornell University, August 1998.

Fig. 18 is a flow diagram that describes steps in a method for computing an albedo for a single pixel. This method can be implemented in any suitable hardware, software, firmware or combination thereof. In the described embodiment, the method is implemented in software. Step 1800 determines, for a given pixel, whether the pixel is fully visible. If the pixel is not fully visible, then an albedo for the pixel is not computed (step 1804). If the pixel is fully visible, step 1802 determines whether the pixel is fully illuminated by at least one light source. If the pixel is not fully illuminated by at least one light source, then an albedo for the pixel is not computed (step 1804). If the pixel is fully illuminated by at least one light source, then step 1806 determines whether the pixel is partially illuminated by any light source. If so, then an albedo is not computed for the pixel. If the pixel is not partially illuminated by any light source, then step

1808 computes an albedo and a weight for the pixel. The weights are later used in averaging together individual maps. Hence, as discussed above, albedos are computed only for pixels that are fully visible, fully illuminated by at least one light source, and not partially illuminated by any light source. This ensures that partially occluded pixels and pixels that are in full-shadow or penumbra are not used.

Conclusion

The embodiments described above provide systems and methods that address the challenge of modeling and rendering faces to the high standard of realism that must be met before an image as familiar as a human face can appear believable. The philosophy of the approach is to use measurements whenever possible so that the face model actually resembles a real face. The geometry of the face is represented by a displacement-mapped subdivision surface that has consistent connectivity and correspondence across different faces. The reflectance comes from previous BRDF measurements of human skin together with new measurements that combine several views into a single illumination-corrected texture map for diffuse reflectance. The motion comes from previously described motion capture technique and is applied to the face model using an improved deformation method that produces motions suitable for shaded surfaces. The realism of the renderings is greatly enhanced by using the geometry, motion, and reflectance of real faces in a physically-based renderer.

Although the invention has been described in language specific to structural features and/or methodological steps, it is to be understood that the invention defined in the appended claims is not necessarily limited to the specific features or

